jc715 U.S. PTO

UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING
(RULE 53(b)(1))

For Design or Utility Applications

<u>Rule 53(b)(1) PATENT APPLICATION:</u>   **(DO <u>NOT</u> USE FOR CIPs)**

☒ Continuation)
) application under 37 CFR 1.53(b)(1)
☐ Divisional)
application under 37 CFR 1.53(b)(1)   Group Art Unit: Unknown
    of pending prior application of
                                                      Examiner: Unknown
Inventor(s): ELLIS, III

Parent Appln. No.:	09	320,660	Atty. Dkt.	PM 265678	GNC7-CON1
	Series Code ⇧	Serial No. ⇧		New M#	Client Ref

Parent Filed: May 27, 1999
This Appln. Filed: September 26, 2000

Title: GLOBAL NETWORK COMPUTERS

Hon. Commissioner of Patents   Date: September 26, 2000
Washington, DC 20231   (Parent Matter No. 251017   )

Sir:

            To effect the above-requested filing today:

1. **Attached** is a copy **(which must be filed)** of the prior application, including:

☐ Abstract
☒ Specification and claims (<u>54</u> pages) **(must be attached)**
☒ Drawings **(must be attached if originally filed):** <u>6</u> sheet(s)/set: ☐ 1 set informal;
                                              ☒ Formal of size ☒ A4 ☐ 11"

1A. <u>Always</u> X one box, only:
  (1) ☒ Original Declaration attached.
  (2) ☐ <u>NO</u> declaration or fee is enclosed; therefore, this is a filing under Rule 53(f).

2. ☐ This application is hereby filed by <u>less than all of the inventors</u> named in the prior application. Petition is hereby made requesting deletion as inventor(s) of the following who is/are <u>not</u> inventor(s) of the invention being claimed in this application <u>(DELETE THE FOLLOWING INVENTOR(S)):</u>

  1. _____   2. _____
  3. _____   4. _____
  5. _____   6. _____
  7. _____   8. _____

2.5 THE <u>INVENTOR(S) FOR THIS NEW APPLICATION IS(ARE):</u>

  1. _____   2. _____
  3. _____   4. _____
  5. _____   6. _____
  7. _____   8. _____

3. The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference thereto.

4. □ Priority is claimed under 35 U.S.C. 119/365 based on filing in _____ Of
(country)

	Application No.	Filing Date		Application No.	Filing Date
(1)	_____	_____	(2)	_____	_____
(3)	_____	_____	(4)	_____	_____
(5)	_____	_____	(6)	_____	_____

    a. □ _____ (No.) Certified copy/copies attached.

    b. □ Certified copy/copies previously filed on _____ In
U.S. Application No. ____ / _____ , filed on _____ .
      series code ⇧ ⇧ serial no.

    c. □ Certified copy/copies filed during International stage of PCT/ ____ / .

4. (a) □ Domestic priority is claimed from

Application No.		Filing Date
60/031,855	filed	29 Nov. 1996
60/033,871		20 Dec. 1996
60/066,415		24 Nov. 1997
60/032,207		02 Dec. 1996
60/066,313		21 Nov. 1997
08/980,058		26 Nov. 1997
PCT/US97/21812,		28 Nov. 1997

    (b) □ Benefit is claimed of Provisional Application No. 60/___ , filed ___ .

5. □ Prior application is assigned to _____

by assignment recorded _____ Reel _____ Frame _____ .
               (Date)

6. □ Attached is the following number of Assignments (including original and all later successive ones by **Different** and respective **new** Cover Sheets. (Do **NOT** file old cover sheets.) assignors): _____

(Assignments in parent **must be refiled** with new Cover Sheets in this continuing application if you want it/them recorded against the continuing application.)

Please return the recorded Assignment to the undersigned.

7. □ The power of attorney in the prior application is to _____

_____
(Name and Reg. No.)
whose current address is as in item 8 below.

    a. □ Recognize as associate attorney _____

_____
(Name, Reg. No. and Address)

8. **Address all future communications to Intellectual Property Group**
of Pillsbury Madison & Sutro LLP, Ninth Floor, East Tower 1100 New York Avenue, N.W.,
Washington, D.C. 20005-3918

9. ☒ **Amend the specification** by inserting before the first line the sentence:- This is a
☒ continuation □ division of Application No. 09/320,660, filed May 27, 1999
             series code ⇧ ⇧ serial no.
International Appln. No. PCT/US97/21812 filed November 28, 1997 which was a CIP of
08/980,058 filed November 26, 1997; Application 09/320,660 claims the benefit of U.S.
Provisional Applications 60/031,855 filed November 29, 1996; 60/032,207 filed
December 2, 1996; 60/033,871 filed December 20, 1996; 60/066,313 filed November
21, 1997; and 60/066,415 filed November 24, 1997.--

9. (a) □ **Amend the specification** by inserting before the first line: --This application claims the benefit of
Provisional Application No. 60/___ , filed ___ .--

10. ☒ It has been recently determined that this new continuing application is entitled to small entity status. Hence:
1 (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27 were/are:
☐ filed in above prior application (and hence applicable hereto)
☒ attached.

11. Petition to extend the life of the above prior application <u>to at least the date hereof</u>
(<u>one</u> box) ☐ is being concurrently filed in that prior application (Use Form PAT-111).
(<u>must</u> be) ☐ was previously filed in that prior application (Check length of prior extension).
(X'd) ☒ is not necessary <u>for copendency</u> (**Double check** before X'ing this box).

12. ☒ **INFORMATION DISCLOSURE STATEMENT:** Attached is Form PTO-1449 listing all of the documents cited by Applicant and the PTO in the parent application(s) relied upon under 35 USC 120 and referenced in item 9 above. Per Rule 98(d) copies of those documents are <u>not required</u> now. Please consider those documents and <u>advise</u> that they have been considered in <u>this new</u> application as by returning a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP 609. .

13. ☐ Attached is a Rule 103(a) Petition to Suspend Action.

14. ☒ **PRELIMINARY AMENDMENT to be entered before fee calculation:** (Do <u>not</u> make amendments here except for correction of improper multiple dependencies or cancellation of whole claims or multiple dependencies for purpose of reducing the filing fee per MPEP §§ 506 and 607; do <u>not</u> cancel all claims).

Cancel claims 2-51.

FILING FEE
THE FOLLOWING FILING FEE IS BASED ON
->->->->CLAIMS AS FILED AND **CHANGED BY PRELIMINARY AMENDMENT IN ITEM 14**<-<-<-<-

<u>NOTE:</u> If box 1A2 is X'd, do not pay fees,
but leave lines 15-22 and 27-32 <u>blank.</u>

PTO: PLEASE NOTE CLAIM CANCELLATIONS IF BOX 14 ABOVE IS X'D.

				Large/Small Entity		Fee Code
15. Basic Filing Fee . . . . .Design Application				$310/$155		106/26
16. Basic Filing Fee . . . . Not Design Application				$690/$345	+345	101/201
17. Total Effective Claims	1	minus 20 =	0	x $18/$9	+0	103/203
18. Independent Claims	1	minus 3 =	0	x $78/$39	+0	102/202
19. If <u>any proper</u> multiple dependent claim (ignore improper) is present,				$260/$130	+0	104/204
20.				Subtotal =	$0	
21. If "petition" box 13 above is X'd, add petition fee. $130					+0	122
21A. If box 6 above is X'd, add Assignment recording fee . . . . $ 40					+0	581

22. **TOTAL FILING FEE ATTACHED =** $345

(carry forward to Item 31)

23. ☐ ATTACHED:

24. ☒ Preliminary Amendment <u>attached</u> (to be entered <u>after</u> assigning Appln. No.)

25. ☐ The following PRELIMINARY AMENDMENT is to be entered <u>after</u> assigning Appln. No.:

26.

ADDITIONAL FEE CALCULATION FOR
PRELIMINARY AMENDMENT
PER BOXES 24/25

	Claims remaining after amendment	Highest number previously paid for	Present Extra		Additional Fee	
					Large/Small Entity	File Code
27. Total Effective Claims	66	minus ** 20	= 46	x $18/$9 =	$ 414	(103/203)
28. Independent Claims	4	minus *** 3	= 1	x $78/$39 =	+ 39	(102/202)

29. If amendment enters proper multiple dependent claim(s) into this application for the
first time, add (per application) . . . .$260/$130   + 0   (104/204)

30.   ADDITIONAL FEE $ 453

31.   plus FEE from item 22 on page 3   + 345

32.   **TOTAL FEE ATTACHED** $ 798

33.

34.   *If the entry in this space is less than a entry in the next space, the "Present Extra" result is "0"

35.   **If the "Highest number previously paid for" (see item 17 above) is less than 20, write "20" in this space

    If the "Highest number previously paid for" (see item 18 above) is less than 3, write "3" in this space

Our Deposit Account No. 03-3975

Our Order No.	81498	265678
	C#	M#

CHARGE STATEMENT: Upon the filing of a Declaration pursuant to Rule 60(b) or 60(d), the Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.
This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Madison & Sutro LLP
Intellectual Property Group

1100 New York Avenue, NW   By Atty: Richard C. Irving   Reg. No.   38499
Ninth Floor
Washington, DC 20005-3918   Sig: _Richard C. Irving_   Fax: (202) 822-0944
Tel: (202) 861-3000   Tel: (202) 861-3788
RCI/ksh
  Atty./Sec.

        NOTE No. 1: File this Request in duplicate with 2 postcard receipts (PAT-103) & attachments
        NOTE No. 2: Is extension in parent necessary for copendency? **DOUBLE CHECK Item 11 above.**
        If yes, printout Pat-111 and head it in parent.